# Early warning of MIB episode based on gene abundance and expression in drinking water reservoirs

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# 5 Abstract

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Cellular 2-methylisoborneol (MIB) yield of cyanobacteria varies under different conditions according to culture studies and field investigations, the causal mechanism remains unclear and results in ineffective MIB prediction. Through an intensive field survey during an MIB episode produced by *Pseudanabaena cinerea* in QCS reservoir, we demonstrated that MIB synthesis (*mic*) gene abundance (DNA) and expression (RNA) might be useful as parameters for early warning of MIB production. It was found that the abundance of *mic* DNA and RNA peaked ahead of MIB concentrations by 10 and 7 days, respectively. In addition, the RNA abundance ( $R^2 = 0.45$ , p < 0.01) showed a slightly higher correlation with MIB compared to DNA abundance ( $R^2 = 0.37$ , p < 0.01), suggesting that the conditions for the growth of *Pseudanabaena cinerea* might be slightly different from those for *mic* gene expression, which was verified by a culture experiment. The highest cell growth was obtained under 36 µmol photons m<sup>-2</sup> s<sup>-1</sup>, while the highest cellular MIB yield and *mic* gene expression level were obtained under 85 µmol photons m<sup>-2</sup> s<sup>-1</sup>. Our results clearly supported that light intensity was the virtual regulator governing the *mic* gene expression within the controlled culture experiment and the actual MIB episode in the reservoir. Besides these results, we developed an early warning model using *mic* gene abundance as an indicator of MIB episodes, which was verified in two other reservoirs. Our findings highlight the effect of light intensity on *mic* gene expression and MIB synthesis and provide an early warning tool targeting MIB episode prediction, which therefore should be of importance for source water authorities.

6 Keywords: 2-methylisoborneol (MIB), MIB synthesis gene, Pseudanabaena, Prediction, Light

7 intensity, Gene expression, Reservoir

## 8 1. Introduction

Taste and odor issues, particularly the musty odor caused by 2-methylisoborneol (MIB), have become a major challenge for water quality (Izaguirre and Taylor, 2007; Lanciotti et al., 2003; 10 Yang et al., 2008; Sun et al., 2013). If the MIB concentration in source water is over 400 ng L<sup>-1</sup>, for 11 example, dosing with powdered activated carbon alone may not be enough to achieve the goal 12 of <10 ng  $L^{-1}$  (odor threshold concentration) in purified water (Cook et al., 2001; Zamyadi et al., 13 2015). Although MIB was first identified as the volatile secondary metabolite produced by acti-14 nomycetes (Gerber, 1979), filamentous cyanobacteria including Pseudanabaena, Planktothrix, 15 Phormidium, Oscillatoria, Lyngbya, Planktothricoides, etc. are the major producers of MIB in 16 drinking water sources (Persson, 1996; Watson et al., 2008, 2016; Su et al., 2015; Lu et al., 2022). 17 MIB concentration in actual water is governed by the growth of MIB producer(s), the expres-18 sion level of MIB synthesis gene and hydrological transportation of MIB diffusion. Water tem-19 perature, nutrients, light availability, and hydrodynamics have been revealed as the driving fac-20 tors affecting the growth of MIB producers based on field investigation and culture experiments 21 (Kakimoto et al., 2014; Jia et al., 2019; Wang and Li, 2015). In comparison with scum-forming 22 cyanobacteria, the growth of which is mainly driven by nutrient availability, the driving forces 23 for the growth of MIB producers are quite complicated. Because of their relatively large cellular 24 sizes, these filamentous cyanobacteria have a strong capacity to harness light energy (Halstvedt 25

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et al., 2007; Su et al., 2014), which allows them to live in low-light conditions (Su et al., 2019). 26 Such a feature, however, makes them susceptible to competition from other cyanobacteria, leav-27 ing a narrow niche for themselves in natural reservoirs/lakes (Su et al., 2019). Accordingly, MIB 28 producers are usually not the dominant cyanobacteria species in a particular water system, and 29 their occurrence and MIB episodes only last for normally no longer than 2 months (Izaguirre 30 and Taylor, 2007; Su et al., 2015, 2021; Wu et al., 2021). Nevertheless, cellular MIB yields still 31 show great variation during that period, even when the water temperature and nutrient con-32 ditions are rather stable (Chiu et al., 2016; Huang et al., 2018), suggesting that the ambient 33 environmental factors not only govern the growth of MIB producers, but also affect cellular MIB 34 productivity. Furthermore, MIB is synthesized through the isoprenoid pathway, and shares a 35 common precursor geranyl pyrophosphate (GPP) with photosynthesis pigments chlorophyll a 36 (chl a), Carotenoids, and Xanthophylls in cyanobacteria (Zimba et al., 1999), suggesting that MIB 37 production is a light-dependent process. In addition to solar irradiance, light availability is also 38 governed by the water extinction coefficient and mixing depth. Therefore, we speculate that 39 underwater light availability could possibly be an important driving factor for the growth of MIB 40 producers as well as MIB biosynthesis in natural water bodies, which makes the MIB episodes 41 quite unpredictable based on traditional methods (Chiu et al., 2016; Huang et al., 2018). This 42 has created a difficult situation for waterworks trying to adjust their treatment processes. 43

Quantification of functional genes has been regarded as a potential method for cyanobacte-44 rial metabolite prediction, e.g., the toxin-encoding genes have been used to predict microcystin 45 production by as much as 7 days in advance (Lu et al., 2020). The pathway of MIB biosynthesis 46 is nearly the same in cyanobacteria (Giglio et al., 2011; Wang et al., 2011) and actinomycetes 47 (Komatsu et al., 2008). It consists of two main steps from the precursor geranyl diphosphate 48 (GPP), including 1) a methylation process from GPP to methyl-GPP catalyzed by methyltrans-49 ferase (GPPMT), and 2) a cyclization process from methyl-GPP to MIB by MIB synthase (MIBS). 50 These two processes do not fully match with cyanobacteria taxonomy, so cell morphology-based 51 cyanobacteria identification is therefore unable to distinguish the MIB producers making it dif-52 53 ficult to evaluate the MIB production in drinking water reservoirs/lakes. Thus, the abundance

of genes associated with GPPMT/MIBS and their expression have merit as fundamental indica-54 tors for MIB episodes, as verified in field studies (Chiu et al., 2016; Kim et al., 2020; Lu et al., 55 2019; Rong et al., 2018; Wang and Li, 2015). In view of the fact that the sequences of the two 56 genes vary somewhat among strains, we have developed a pair of universal primers (MIBQSF/R) 57 targeting the MIBS gene (mic gene) of all known MIB-producing strains, and validated it to be 58 MIB-specific based upon samples from 9 reservoirs and 17 cultured strains (Suruzzaman et al., 59 2022). In addition to the presence of the gene in the genome, the expression of the mic gene 60 is also essential to the biosynthesis of MIB. Therefore, it may be possible to use mic gene abun-61 dance and expression to predict the occurrence and strength of MIB episodes. 62

On the basis of the description from above literatures and our previous studies, we proposed 63 64 the hypothesis that light intensity is a more important regulator of MIB synthesis gene expression compared to water temperature and nutrient concentrations for an actual MIB episode. A 65 systematic field investigation was performed in Qingcaosha (QCS) Reservoir including the spatial 66 and temporal distributions of MIB, MIB producers and mic gene abundance/expression. At the 67 same time, the effect of light intensity on the cell growth, MIB production, cellular MIB yield 68 and mic gene expression of the MIB-producing Pseudanabaena strain (Pseudanabaena cinerea 69 FACHB 1277) were determined through culture experiments. Finally, valid early warning indica-70 tors targeted for MIB prediction were proposed and applied to drinking water reservoirs. 71

#### 72 2. Methods and Materials

#### 73 2.1. Study area and sampling sites

QCS Reservoir (32°27'N, 121°38'E, Fig. S1, Fig. S2), located in the estuary of the Yangtze River, is the major source of drinking water for Shanghai (Su et al., 2021), and has suffered from MIB problems for several years. The reservoir has the maximum storage capacity of 437.5 GL and the surface area of 66.15 km<sup>2</sup>. According to the temperature profile observed in our previous study, the water bodies showed the well vertical mixed characteristics year-round. A total of 19 sampling sites were selected from Upstream river water (1 site), North branch (3 sites), South

branch (4 sites), and Middle section (11 sites) to investigate the spatial distribution of MIB con-80 centrations in 2021 (Fig. S1, Table S1) according to bathymetry (Fig. S2). According to the tem-81 perature profile observed in our previous study (Su et al., 2021), the water bodies showed the 82 well vertical mixed characteristics year-round. Since this reservoir is well-mixed, 5 L water sam-83 ples from the surface layer (0.5 m) of all sites were collected by Kemmerer water sampler weekly 84 for physico-chemical measurement, algal enumeration, and molecular detection during an MIB 85 episode. Meanwhile, daily sampling was conducted in QC10 (located in the North branch, Fig. 86 S1) to follow the temporal dynamics of MIB and related gene abundances. All samples were 87 stored at 4 °C within 24 h until use. 88

MIB concentrations were determined using solid-phase micro-extraction (SPME) coupled with 89 gas chromatography-mass spectrometry (GC-MS) (Su et al., 2015). The physico-chemical prop-90 erties including water temperature, dissolved oxygen (DO), pH, and turbidity were measured 91 using a multiple-probe instrument (EXO2, Xylem, USA) in-situ. Water transparency, expressed 92 as secchi depth (SD), was measured by a Secchi disk (diameter: 20 cm, black and white). Total 93 phosphorus (TP), total nitrogen (TN), nitrate nitrogen (NO<sub>3</sub>-N) and ammonia nitrogen (NH<sub>4</sub>-N) 94 were measured according to the national water quality standards of China. Air temperature and 95 solar irradiance were obtained from the China Meteorological Data Service Center (CMDC). The 96 water level and inlet/outlet volume were obtained from the reservoir authority. Hydraulic reten-97 tion time (HRT) was determined based on inlet/outlet volume and reservoir storage. The 100 98 mL subsamples for phytoplankton cell counting were preserved with 5 % Lugol's iodine and con-99 centrated to 10 mL after sedimentation for 48 h. Cell counting was performed using a counting 100 chamber (S52, 1 mL, Sedgewick-Rafter) under a microscope (OLYMPUS BX51, Olympus Optical, 101 Tokyo, Japan), and the cyanobacterial species was identified according to (Komarek et al., 2014). 102 The filamentous cyanobacteria abundances were quantified based on the length of each fila-103 ment and the mean cell length of each strain, and the number of cells in colony species such 104 as Microcystis sp. was estimated based on colony volume and mean cell density. The mean 105 cell morphological characteristics including cell length, cell volume etc. were determined ac-106 cording to more than 50 filaments/colonies of each strain using a self-developed cell-counting 107

tool (CCT v1.4, https://drwater.rcees.ac.cn, in Chinese); more details can be found in Su et al.
(2015). Jinze (JZ) Reservoir (31°03'N, 120°95'E) and Lianghui (LH) Reservoir (29°98'N, 121°16'E)
were selected to validate the *mic* gene-based early warning method, and the samples collection,
storage, and analysis methods were the same as those of QCS Reservoir.

# 112 2.2. DNA and RNA extraction

A total of 152 water samples from QCS, JZ, and LH reservoirs were collected for molecular de-113 tection, respectively. The 500 mL subsamples were filtered by 1.2  $\mu$ m Isopore<sup>TM</sup> Membrane 114 Filters, then the membrane filters were stored at -20 °C in 1.5 mL centrifuge tubes until DNA 115 and RNA extraction. The DNA and RNA of water samples were extracted using the Fast DNA TM 116 spin kit for soil (MP Biomedicals, USA) and E.Z.N.A.<sup>™</sup> Soil RNA Kit (OMEGA, USA), respectively. 117 PrimeScript<sup>™</sup> RT Master Mix (TaKaRa, Japan) was used to reverse transcribe RNA to cDNA, per-118 forming the reaction at 37 °C for 15 min followed by 85 °C for 5 s. The concentration and purity 119 of DNA and cDNA were identified by microspectrophotometry (NanoDropND-2000, NanoDrop 120 Technologies, Willmington, DE). DNA and cDNA samples were stored at -80 °C until use. 121

# 122 2.3. Quantification of mic gene

The primers MIBQSF (5'-GACAGCTTCTACACCTCCATGA-3') and MIBQSR (5'-CAA TCTGTAGCACCATGTTGAC-123 3') were used to amplify the cyanobacterial mic gene (Suruzzaman et al., 2022). The quantitative 124 PCR was carried out in a 25 µL volume mixture including 12.5 µL TB Green<sup>TM</sup> Premix Ex Taq<sup>TM</sup> 125 (TaKRa, Japan), 0.8 µL for each primer (MIBQSF and MIBQSR), 8.9 µL deionized water, and 2 126 µL template DNA. The quantitative PCR was conducted using LightCycler 96 (Roche, USA), and 127 the reaction conditions were pre-incubation at 95 °C for 10 min; 50 cycles at 95 °C for 20 s, 128 50 °C for 20 s, and 72 °C for 20 s; and DNA melting from 65 °C to 97 °C. The specification of 129 qPCR amplification protocol was verified using 12 MIB-producing cyanobacteria and 5 non-MIB 130 producing cyanobacteria, no non-specific amplicon was found in gel image (Suruzzaman et al., 131 2022). Standard curves were obtained by dilution from linearized plasmids containing between 132  $10^{10}$  and  $10^4$  mic gene copies  $\mu$ L<sup>-1</sup>, and all the measurements were conducted in triplicate. The 133

<sup>134</sup> standard curve was obtained:  $C_q = -3.4537 lg(c_{mic}) + 40.13(R^2 = 0.999, p < 0.0001)$ <sup>135</sup> with the efficiency of 95% (Fig. S3). Negative control was used to distinguish the specific and <sup>136</sup> non-specific amplification (Fig. S4).

# 137 2.4. Identification of MIB producers

We combined multiple methods including high-throughput sequencing and pure culture to 138 identify the MIB producers in QCS Reservoir. Firstly, considering the cyanobacteria and actino-139 mycetes have the potential to produce MIB in natural water bodies but they have different gene 140 order in MIB operon (Devi et al., 2021), the genetic information of mic genes can be used to 141 identify the MIB producers. Here, nanopore sequencing (with long reads that can span the MIB 142 operon (about 5000 bp)) was used to investigate the genetic environment of mic genes in QCS 143 Reservoir and further identify the MIB contribution of cyanobacteria or actinomycetes. Environ-144 mental DNA was prepared for library construction, large DNA fragments were recovered using 145 the BluePippin automatic nucleic acid recovery system (Sage Science), and then purified using 146 magnetic beads. The two ends of purified DNA were repaired and connectors were added. These 147 constructed libraries were sequenced on the Oxford Nanopore Technology (ONT) platform. Raw 148 data were preprocessed by Trimmomatic (v.0.36) to obtain clean data. Further, the clean data 149 were mixed and assembled to Scaftigs using MEGAHIT (v.1.0.6), then the Scaftigs shorter than 150 500 bp were filtered for subsequent analysis. The mic gene in the Scaftigs was determined by 151 BLASTN with mic gene sequences obtained from the National Center for Biotechnology Infor-152 mation (NCBI) GenBank. The sequencing data were submitted to the NCBI BioProject database 153 with accession number PRJNA844292. 154

In addition, sequencing of the *mic* genes of environmental DNA can provide clues to explore the communities of potential MIB producers (Qiu et al., 2021). topHL)Zhe primers MIBQSF (5'-GACAGCTTCTACACCTCCATGA-3') and MIBQSR (5'-CAATCTGTAGCACCATGTTGAC-3') with barcode sequences at two ends were used to amplify the *mic* genes of environmental samples (Suruzzaman et al., 2022). Purified amplicons were paired-end sequenced on the Illumina MiSeq PE300 platform (Illumina Inc., San Diego, USA). Paired-end reads were merged by the FLASH program (Magoc and Salzberg, 2011). Then the sequences were clustered to operational taxonomic units (OTUs) by UPARSE with 97% similarity cutoff (Edgar, 2013), and the singletons and chimeras were removed. Representative sequences of OTUs were blasted with *mic* gene sequences obtained from the National Center for Biotechnology Information (NCBI) GenBank to identify the contributors to *mic* genes. The sequencing raw data were submitted to NCBI BioProject database with accession number PRJNA838781.

Finally, the potential MIB producers were isolated and their MIB production abilities were 167 confirmed. A single filament was picked up under the microscope and washed with sterile 168 ddH<sub>2</sub>O several times until the only target filament was obtained. The isolated Pseudan-169 abaena were cultured under 25 °C and light intensity of 30 µmol photons m<sup>-2</sup> s<sup>-1</sup> in BG11 170 medium. GC-MS was used to identify the MIB production abilities of these isolated strains. 171 Taxonomic classification was confirmed by 16S rRNA gene sequencing, with the primers 27F 172 (5'-AGAGTTTGATCCTGGCTCAG-3') and 1492R (5'-TACGGCTACCTTGTTACGACTT-3'). Three strains 173 of Pseudanabaena were isolated from MIB episode water samples in QCS Reservoir. 174

#### 175 2.5. Culture experiment for Pseudanabaena

Pseudanabaena cinerea FACHB 1277 obtained from the Freshwater Algae Culture Collection at the Institute of Hydrobiology was used to investigate the effects of light intensity on the cell growth, MIB production, and *mic* gene expression level during the culture period of 35 days. Cells of *Pseudanabaena* in the logarithmic growth phase were centrifuged (1000 RPM, 2 min) and washed 3 times with BG11 medium to remove the extracellular odorous substances. The subsequent culture experiments were performed at a cell density of approximately  $2 \times 10^6$  cells L<sup>-1</sup> based on the cell concentrations observed in QCS Reservoir during the field investigation.

<sup>183</sup> *Pseudanabaena* were cultured in triplicate at 25 °C under a 12 h/12 h light/dark cycle in 30 <sup>184</sup> mL BG11 medium, under different light intensities of 5, 17, 36, 85, and 250 µmol photons m<sup>-2</sup> <sup>185</sup> s<sup>-1</sup>, respectively, according to the variations of light intensities in QCS Reservoir during the MIB <sup>186</sup> episode (15.7  $\sim$  51.1 µmol m<sup>-2</sup>s<sup>-1</sup>).

#### 187 2.6. Statistical analysis

Non-metric multidimensional scaling analysis (NMDS) was first proposed by Kruskal (1964), 188 and have been extensively used to explore the temporal and spatial transitions of phytoplankton 189 communities with in Primer v7 ((Clarke and Gorley, 2015)), and the differences between the com-190 munities were tested using the permutational multivariate analysis of variance (PERMANOVA, 191 (Anderson, 2017)) with 9999 permutations by the Bray-Curtis dissimilarity matrix, performed by 192 the vegan package (Dixon, 2003) based on R language (R Core Team, 2020). The advance days 193 of the mic gene-based early warning method were determined by conducting time-shifted pair-194 wise Pearson's correlation analysis. The correlations between MIB concentration and mic gene 195 (DNA and RNA) abundance were screened with different lag days ( $\Delta d$ ) from 0 to 14. The lag day 196 with the highest correlation was further determined as the advance time for early warning of 197 the MIB episode. 198

The underwater light intensity in QCS Reservoir is determined by solar irradiance and mixing
 characters of reservoir water, and can be calculated by (Eq. 1) as follows:

$$I_c = I_u \frac{1 - e^{-kz_{mix}}}{kz_{mix}} \tag{1}$$

Where  $I_u$  is the sub-surface solar irradiance, k is the light extinction coefficient, and  $z_{mix}$  is the mixing depth. Considering the well-mixed characteristics of the water body in QCS Reservoir,  $z_{mix}$  is equivalent to the water depth ( $z_{max}$ ).

Regarding the culture experiment, the cell growth rate at the logarithmic phase ( $\mu$ , d<sup>-1</sup>) was calculated based on the cell density increase ( $N_{t_2}/N_{t_1}$ ) over time ( $t_2 - t_1$ , d) (Eq. 2), which was determined by the slope of log-linear model between  $N_t$  and t.

$$\mu = \frac{\ln N_{t_2} - \ln N_{t_1}}{t_2 - t_1} \tag{2}$$

 $_{\scriptscriptstyle 207}$   $\,$  The instantaneous cellular MIB yield (  $Y_t=c_t/N_t$  ) was determined according to the instant

total MIB concentration ( $c_t$ , including cell-bound MIB and dissolved MIB) and cell density ( $N_t$ ). The mean cellular MIB yield (Y) was determined according to the mean of all instantaneous cellular MIB yields within the late logarithmic phase and stationary phase for each experiment set. Linear regression, one-way analysis of variance (ANOVA), and Pearson's correlations were performed by the vegan package (Oksanen et al., 2014). The figures were visualized using the ggplot2 package (Wickham, 2009) and ArcGIS v.10.7.

## 214 3. Results

#### 215 3.1. Limnological characteristics

Seasonal MIB episodes lasting for one to two months have been typically observed in the pe-216 riod from Apr. and Jun. in QCS Reservoir since 2016, according to the historical record (data not 217 shown). In 2021, the MIB episode started in the end of April, and ended in late May 25. The 218 solar irradiance varied between 210.6  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup> and 761.8  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup> (Fig. 219 S5), meanwhile, the underwater light intensity varied between 15.7  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup> and 220 51.1  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup>. The air temperature was 21.6 °C and showed 4.4 °C variance. In 221 comparison, the water temperature showed a much smaller variance of 1.8 °C with mean value 222 of 20.2 °C during the episode in 2021 (Fig. S5), and no significant spatial difference between 223 Upstream river water (URW) and reservoir water was observed (p = 0.631). The water level was 224  $2.48 \pm 0.25$  m and hydraulic retention time was  $16.7 \pm 4.9$  d. The dissolved oxygen (DO), pH, and 225 secchi depth (SD) in URW were significantly lower than those in reservoir water (p < 0.01), while 226 the turbidity, total phosphorus (TP), total nitrogen (TN), and nitrate ( $NO_3$ -N) in URW were signif-227 icantly higher (p < 0.01). No significant spatial difference was observed for ammonia (NH<sub>4</sub>-N, p 228 = 0.096). 229

<sup>230</sup> In 2021, a rapid increase in MIB occurred at the end of Apr. and peaked in the middle of May <sup>231</sup> with the highest concentration (99.0 ng L<sup>-1</sup>) detected at QC10 on May 10, and subsequently it <sup>232</sup> decreased to below the odor threshold (10.0 ng L<sup>-1</sup>) on May 25 (Fig. 1). No serious MIB problem <sup>233</sup> was observed in upstream river water (URW) and the south branch (SB) of the reservoir. MIB

I	T <sub>air</sub>	Wind	Rainfall	Water level	HRT
(µmol m <sup>-2</sup> s <sup>-1</sup> )	(°C)	(m s <sup>-1</sup> )	(mm d⁻¹)	(m)	(d)
607 ± 179	21.6 ± 4.4	2.93 ± 1.55	4.34 ± 11.57	2.48 ± 0.25	16.7 ± 4.9
Parameters	URW	NB	SB	MS	Sig.
T <sub>water</sub> (°C)	20.2 ± 1.8	20.3 ± 1.8	20.4 ± 1.6	20.0 ± 1.9	0.631
DO (mg L <sup>-1</sup> )	8.7 ± 0.6	9.2 ± 1.2	8.8 ± 1.0	9.6 ± 0.8	0.000
рН	8.2 ± 0.07	8.7 ± 0.1	8.3 ± 0.2	8.6 ± 0.1	0.000
SD (cm)	41 ± 14	65 ± 18	44 ± 9	77 ± 17	0.000
Turb. (NTU)	52.2 ± 39.9	10.6 ± 4.9	18.3 ± 6.0	8.2 ± 3.2	0.000
TP (mg L <sup>-1</sup> )	0.09 ± 0.03	/	/	$0.05 \pm 0.01$	0.000
TN (mg L <sup>-1</sup> )	1.96 ± 0.09	/	/	$1.61 \pm 0.11$	0.000
Nitrate (mg L <sup>-1</sup> )	1.77 ± 0.08	/	/	1.37 ± 0.09	0.000
Ammonia (mg L <sup>-1</sup> )	0.07 ± 0.02	/	/	0.06 ± 0.02	0.096
MIB (ng L <sup>-1</sup> )	$1.3 \pm 0.5$	22.1 ± 22.6	3.7 ± 5.3	20.5 ± 18.5	0.000

Table 1Limnological characteristics in QCS Reservoir during the investigation. The values are expressed as means and standard deviations

concentrations at the middle section (MS) and the north branch (NB) were significantly higher than those of URW (p < 0.01) and SB (p < 0.01).

#### <sup>236</sup> 3.2. Dynamics of phytoplankton community structure

A total of 21 cyanobacterial genera and 55 genera affiliated with 6 other phyla were recorded 237 during the investigation according to microscopic cell counting results. The phytoplankton com-238 munities showed significant temporal (PERMANOVA,  $R^2 = 0.14$ , F = 2.988, p < 0.001) and spatial 239 (PERMANOVA,  $R^2 = 0.13$ , F = 1.846, p = 0.015) differences (Fig. S6). Cyanophyta and Bacillario-240 phyta were the two dominant phyla over the investigation period from Apr. to Jun. The abun-241 dance of Cyanophyta peaked on May 10 ( $4.4 \times 10^6$  cells L<sup>-1</sup>), but decreased quickly to ( $1.0 \times 10^6$ ) 242 cells L<sup>-1</sup> on May 17, leaving Bacillariophyta ( $(3.0 \pm 1.0) \times 10^6$  cells L<sup>-1</sup>) and Chlorophyta ( $(9.4 \pm 8.0)$ 243  $\times$  10<sup>5</sup> cells L<sup>-1</sup>) as the dominant phyla (Fig. 2B). The cell density of *Pseudanabaena*, a well-known 244 potential MIB producer, increased from Apr.19 ( $4.6 \times 10^5$  cells L<sup>-1</sup>) to May 12 ( $4.8 \times 10^6$  cells L<sup>-1</sup>), 245 then decreased to  $(1.3 \times 10^5 \text{ cells L}^{-1})$  on May 21 (Fig. 2A), exhibiting a similar temporal pattern 246



Fig. 1The spatial and temporal distributions of MIB concentrations during the MIB episode of QCS Reservoir in 2021.

<sup>247</sup> as the MIB concentration ( $R^2 = 0.28$ , *p* < 0.01, Fig. S7).

The mic gene was detected in 133 water samples collected from QCS Reservoir during the 248 MIB episode. The genes' order in the MIB operon was determined as illustrated in Fig. 2C. The 249 mic gene was located between the mtf gene and cnb B gene, suggesting that MIB was pro-250 duced by the cyanobacteria (Devi et al., 2021). The mic gene sequences were subsequently 251 determined to explore the potential MIB producers together with microscopic results. Pseu-252 danabaena was identified as the dominant MIB contributor (accounting for 82.7% of the MIB-253 producing cyanobacterial community) by the annotation of mic gene sequences (Fig. 2C); Oscilla-254 toria, at the same time, contributed 5.1%. Furthermore, 3 Pseudanabaena strains were isolated 255 from the QCS water samples, with Pseudanabaena cinerea being determined as the main MIB 256 producer in QCS Reservoir according to the MIB production potential test (Table S2). 257

# 258 3.3. Correlation between MIB concentration and mic gene abundance

The spatial and temporal patterns of *mic* gene abundances (DNA and RNA) are shown in Fig. 3A, which agreed well with the MIB distribution. The *mic* gene abundances (DNA or RNA) of NB and MS were significantly higher than URW (p < 0.01) and SB (p < 0.01). In general, DNA reached the peak values earlier than RNA.

Daily samples at NB (QC10) were further analyzed to reveal the temporal dynamics of the total



Fig. 2Cyanobacterial community (obtained by microscopic cell counting) in QCS Reservoir at the genus level (top 20% genera, A), the *mic* gene order (B) and proportion of relative abundances of MIB-producing cyanobacteria determined by *mic* gene sequencing (C).

<sup>264</sup> MIB concentrations and *mic* gene abundances (DNA and RNA). The highest MIB concentration <sup>265</sup> (99 ng L<sup>-1</sup>, Fig. 3C) was detected on May 10, while the highest DNA ( $3.67 \times 10^7$  copies L<sup>-1</sup>, Apr. 30) <sup>266</sup> and RNA ( $2.03 \times 10^7$  copies L<sup>-1</sup>, May 3) abundances of the *mic* gene occurred earlier than the <sup>267</sup> peak MIB concentration (Fig. 3B).



Fig. 3Spatial and temporal distribution of DNA (A, top) and RNA (A, bottom) abundance of *mic* gene during the MIB episode in QCS Reservoir, and the temporal dynamics of gene abundance (B) and MIB concentration (C) at QC10.

Time-shifted pairwise Pearson's correlation analysis was performed to evaluate the lag time between *mic* gene abundance and MIB concentration. The highest correlations with MIB concentration were obtained at 10 lag days for DNA and 7 lag days for RNA, respectively (Fig. 4A). Furthermore, RNA abundance of *mic* gene ( $R^2 = 0.45$ , p < 0.01, Fig. 4C) showed a little higher correlation with MIB concentration than the DNA abundance ( $R^2 = 0.37$ , p < 0.01, Fig. 4B). The mean *mic* gene quota (MIB production per *mic* gene copy) was 33 and 181 (fg / *mic* gene copy) for DNA and RNA, respectively.

The earlier peak of mic gene abundance compared to MIB concentration was also observed in JZ Reservoir and LH Reservoir. In JZ Reservoir, The highest DNA abundance  $(5.02 \times 10^5 \text{ copies L}^{-1})$ and MIB concentration (147 ng L<sup>-1</sup>) were detected on Aug. 5 and Aug. 20, respectively. Similar result has been found in LH Reservoir, the highest DNA abundance  $(7.32 \times 10^6 \text{ copies L}^{-1})$  and MIB concentration (72 ng L<sup>-1</sup>) were detected on May 23 and Jun. 14, respectively.



Fig. 4Time-shifted pairwise Pearson's correlation between MIB concentration and DNA or RNA (A) abundances of *mic* gene at QC10. The correlation coefficients were scanned with different lag days ( $\Delta d$ ) from 0 to 14. The best correlation between MIB concentration and DNA (B) and RNA (C) abundances of *mic* gene.

## 280 3.4. Driving factors for mic gene expression

Driving factors responsible for *mic* gene expression were explored to reveal the differences in the temporal variations between the DNA and RNA abundances of *mic* gene during the MIB episode. Water temperature and nutrients were excluded as the major driving factors since no correlation was obtained with *mic* gene abundances (Fig. S9). Only the light intensity was positively correlated with the RNA abundance of *mic* gene ( $R^2 = 0.44$ , *p* < 0.01, Fig. 5B), though no correlation was observed with DNA abundance ( $R^2 = 0.02$ , *p* = 0.64, Fig. 5A).



Fig. 5Correlation between mean underwater light intensity and DNA (A) or RNA (B) abundances of *mic* gene, respectively, at QC10 during the MIB episode.

Further, a culture experiment using *Pseudanabaena cinerea* FACHB 1277 (the major contributor to MIB in QCS Reservoir) was performed to investigate the effects of light intensity on cell growth, MIB production, and *mic* gene expression level (Fig. 6). The highest cell growth rate  $(0.26 \pm 0.03)$  d<sup>-1</sup> was obtained under moderate light intensity (36 µmol photons m<sup>-2</sup> s<sup>-1</sup>). Meanwhile, the maximum cell density  $(1.3 \pm 0.3) \times 10^{10}$  cells L<sup>-1</sup> and MIB concentration (897 ± 75) µg L<sup>-1</sup> were also observed at 36 µmol photons m<sup>-2</sup> s<sup>-1</sup>.

<sup>293</sup> Different from the optimum light intensity for cell growth, the maximum cellular MIB yield <sup>294</sup> (0.15  $\pm$  0.04) pg cell<sup>-1</sup> was achieved at 85 µmol photons m<sup>-2</sup> s<sup>-1</sup>. The expression level of *mic* gene <sup>295</sup> (normalized by cell density) was roughly stable along the culture period under a certain light <sup>296</sup> intensity, but responded to diverse light intensities. The *mic* gene expression level increased <sup>297</sup> by 50 % under 85 µmol photons m<sup>-2</sup> s<sup>-1</sup> compared to 36 µmol photons m<sup>-2</sup> s<sup>-1</sup>, but higher light



<sup>298</sup> intensity (250  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup>) could inhibit the *mic* gene expression (Fig. 6E).

Fig. 6Cell density (A), total MIB production (B), cell growth rate (C), cellular MIB yield (D), and *mic* gene expression level (normalized by cell density) (E) of *Pseudanabaena cinerea* FACHB 1277 under different light intensities.

# 299 4. Discussion

## 300 4.1. MIB episodes in QCS Reservoir

Widespread musty odor events caused by MIB have been increasingly reported in recent 301 decades, raising considerable public attention (Lee et al., 2017; Devi et al., 2021). Cyanobacteria 302 (Lee et al., 2017) and actinomycetes (Zaitlin and Watson, 2006; Zuo et al., 2010) have been 303 widely accepted as the main MIB producers, although the dominant source for a specific water 304 body is sometimes controversial. Previous studies have revealed that the cyclic nucleotide-305 binding protein genes (cnb A and cnb B), methyl transferase gene (mtf), and MIB cyclase gene 306 (mic) are associated with MIB biosynthesis (Giglio et al., 2011; Komatsu et al., 2008). The order 307 of these genes within cyanobacteria (cnbA - mtf - mic - cnbB) is different from that in most of 308

the actinomycetes (*cnb* - *mic* - *mtf*) because of the occurrence of recombinant events during evolution (Devi et al., 2021). In QCS Reservoir, the genes' order in the MIB operon (*cnbA*, followed by *mtf*, *mic*, and *cnbB*) indicates that cyanobacteria are the major contributor to the MIB episode.

All of the microscopic, high-throughput sequencing and pure culture results revealed that Pseu-313 danabaena cinerea was the dominant MIB producer in 2021, though Oscillatoria might have also 314 contributed slightly to the MIB episode. Previous studies in general only focused on one MIB 315 producer for a specific MIB episode (Su et al., 2021; Huang et al., 2018). This study shows that 316 the ecological niche in QCS Reservoir could support two MIB-producing genera. The dominant 317 MIB-producing species may be different in different years since the environmental conditions 318 may change. At the same time, it should be noted that there were also two other Pseudan-319 abaena species (P. limnetica and P. catenate) which could not produce MIB, which is easy to 320 understand since the same genera usually favor similar niches. This study clearly shows that 321 microscopic identification alone (Fig. S8) is therefore not sufficient to identify the MIB produc-322 ers, considering the co-occurrence of MIB-producing Pseudanabaena and non-MIB producing 323 Pseudanabaena, and the cell lysis when MIB release. 324

## 4.2. Early warning of MIB episode based on mic gene abundance and expression

Quantification of MIB synthesis genes has been regarded as a sensitive and rapid method for 326 the evaluation of the MIB production potential in drinking water sources (Chiu et al., 2016; Kim 327 et al., 2020; Lu et al., 2019; Rong et al., 2018; Wang and Li, 2015), which can be completed within 328 one day from samples collection to result analysis, and the cost is lower than GC-MS analysis. 329 This study clearly demonstrates for the first time that the detection of the mic gene could be used 330 as an effective early warning approach for an MIB episode since the peaks of the DNA and RNA 331 abundances arrived 10 and 7 days earlier than that of MIB concentration. The mic gene has been 332 reported as single copy in the genome of Pseudanabaena, Planktothricoides and the majority of 333 actinomycetes (Giglio et al., 2011; Komatsu et al., 2008; Wang et al., 2011), indicating a consis-334 tent correlation between mic gene abundance and P. cinerea cell density. Moreover, early total 335

Pseudanabaena abundance increases were observed before May 10 according to microscopic 336 cell counting, suggesting P. cinerea probably the dominant Pseudanabaena species in the early 337 stage. Since intracellular MIB is mainly released into water during the stationary/death phase 338 (Alghanmi et al., 2018), we speculate that the massive breakdown of P. cinerea cells before May 339 10 resulted in the instant MIB increases in QCS Reservoir. In addition, the transportation and dif-340 fusion processes of MIB were also important reasons for the 7  $\sim$  10 days' delay of MIB episodes 341 in comparison with the dynamics of mic gene abundance. Previous studies showed that short hy-342 draulic retention time (HRT) could inhibit cyanobacterial growth via disrupt and dilute processes, 343 and HRT was positively correlated with cyanobacterial abundance (Lee et al., 2012; Rangel et al., 344 2012). Further study is still required to obtain the relationship between hydrodynamics and the 345 346 time lag.

This real-time PCR-based approach is particularly important considering the fact that only one 347 among the three Pseudanabaena strains isolated from the episode samples exhibited the po-348 tential to produce MIB. If the waterworks could predict the occurrence of the peak MIB con-349 centrations 7 or 10 days earlier, they could have sufficient time to take measures to cope with 350 the episode. They can change the source water, regulating the flow rate, preparing PAC for MIB 351 removal, or reduce the problematic source water to ensure sufficient adsorption time since the 352 adsorption of MIB mainly occurs in the micropores of PAC, requiring long adsorption time (Yu 353 et al., 2007). 354

Since the mic gene is essential for MIB production regardless of taxonomy, this method can 355 be applied to all MIB episodes. Though the RNA-based gene abundance ( $R^2 = 0.44$ ) is slightly 356 more accurate than the DNA-based one ( $R^2 = 0.37$ ), DNA detection may be a more practical 357 approach since the detection of DNA is easier, and the advance time (10 days) is longer. This 358 advance time was in accordance with a previous study on microcystin production (7 days; (Lu 359 et al., 2020)). The mic gene-based early warning function was also validated by application in 360 2 drinking water reservoirs (JZ Reservoir and LH Reservoir, Fig. S12). Both applications exhib-361 ited an earlier peak of mic gene abundance compared to the MIB concentration, though the 362 advance days cannot be accurately confirmed due to the low sampling frequency. This further 363

<sup>364</sup> supports the validation of this technology for early warning purpose, although the number of
 <sup>advance</sup> days should be adjusted before application due to physiological differences between
 <sup>366</sup> MIB producers and differences in the hydrodynamics of reservoirs/lakes.

# 367 4.3. Driving factors for MIB production

MIB production in actual water is governed by the growth of MIB producer(s), the expression 368 level of MIB synthesis gene and hydrological transportation of MIB diffusion. As a result, the cor-369 relation between observed MIB concentration and abundance of MIB producer(s) is not strong, 370 e.g., in this study the correlation coefficient between Pseudanabaena cell density and MIB con-371 centration is 0.28, and the MIB concentration can only be modeled using quantile regression in 372 Miyun Reservoir (Su et al., 2015). It suggests that the gene expression should be emphasized. 373 Noted that, RNA abundance of mic gene is a better indicator of MIB dynamics compared to DNA 374 abundance, with 8% variance differences, indicating that the mic gene expression is governed 375 by other factors during the MIB episode. 376

Water temperature, nutrients and light availability have been considered to be key factors af-377 fecting the growth and MIB production of cyanobacteria. For Pseudanabaena, higher tempera-378 ture could promote cell growth (25-35 °C), MIB production (Izaguirre and Taylor, 2007; Wang and 379 Li, 2015; Zhang et al., 2016) and mic gene expression (30 °C) (Kakimoto et al., 2014). However, 380 no significant correlation between water temperature and mic gene abundances (DNA or RNA) 381 was observed in QCS Reservoir, probably owing to the small temperature variations (17.2 °C to 382 26.0 °C) during the MIB episode. The uncorrelated relationship between nutrients concentra-383 tion and mic gene abundances (DNA and RNA) in QCS Reservoir further supports that nutrients 384 are probably not the key factor governing *mic* gene expression. Nutrients are generally not the 385 limiting factor for MIB producers, as they prefer to stay in the subsurface/bottom layers of the 386 water column, where nutrients from sediments can satisfy their demand (Su et al., 2019, 2021), 387 which is why prevalent MIB episodes usually occur in mesotrophic/oligotrophic reservoirs/lakes 388 (Su et al., 2019). 389

Cyanobacteria capture light photons by using photosynthetic pigments including chlorophyll a 390 and phycobillins through photosynthesis (Wiltbank and Kehoe, 2019). MIB biosynthesis shares 391 a common precursor with chlorophyll a (Zimba et al., 1999), therefore the ambient light con-392 dition probably is an essential regulator that governs the cell growth (indicator of chlorophyll 393 a biosynthesis) and MIB production for cyanobacteria, as also observed in other culture exper-394 iments (Jia et al., 2019; Li et al., 2012; Wang and Li, 2015; Su et al., 2023). Our culture result 395 indicates that *Pseudanabaena* cannot grow under light intensity as low as 5  $\mu$ mol photons m<sup>-2</sup> 396  $s^{-1}$ , consistent with Zhang et al. (2016); optimized growth was obtained under 36  $\mu$ mol photons 397 m<sup>-2</sup> s<sup>-1</sup>, but maximum cellular MIB production was obtained under 85 µmol photons m<sup>-2</sup> s<sup>-1</sup>. This 398 result is also consistent with Zhang et al. (2016), showing that the optimum light intensities for 399 cell growth and MIB production were 25 and 40  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup>, respectively. The mic 400 gene expression was promoted along with the increase in light intensity from 17 to 85 µmol 401 photons m<sup>-2</sup> s<sup>-1</sup>, resulting in incremental cellular MIB yield. Nevertheless, the level of *mic* gene 402 expression in response to light is strain-specific according to comparison with another indepen-403 dent study (Wang et al., 2011), which revealed that the mic gene expression of Pseudanabaena 404 sp. dqh15 was inhibited under 60  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> compared to 30  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>. 405

Meanwhile, the mean underwater light intensity varied between 15.7 and 51.1  $\mu$ mol photons 406 m<sup>-2</sup> s<sup>-1</sup> during the MIB episode in QCS Reservoir (Fig. S10). It is interesting that the light intensity 407 was positively correlated with the *mic* gene abundance of RNA ( $R^2 = 0.44$ , p < 0.01), but not 408 with DNA ( $R^2 = 0.02$ , p = 0.64). It is possible that the light fluctuation during the MIB episode 409 was not big enough to affect the cell growth of Pseudanabaena. However, the result clearly 410 shows that the *mic* gene expression was more sensitive to underwater light intensity than was 411 cell growth, which was in accordance with the pure culture experiment. In QCS Reservoir, the 412 relatively higher light intensity (46.3  $\pm$  5.1  $\mu$ mol photons m<sup>-2</sup> s<sup>-1</sup>) during the period Apr. 29 to 413 May 3 may have caused the observed increase in MIB concentration 7 days later (from May 6 414 to May 10, Fig. S10). This light response feature of Pseudanabaena means that the production 415 of MIB may be greatly reduced even for abundant MIB producers if the light availability is not 416 favorable for the expression of the mic gene. Therefore, although the detection of DNA is used 417

418 for early warning of the MIB episode, the detection of RNA is also desirable for a more accurate

<sup>419</sup> prediction, and the light intensity should be also an important predictor.

#### 420 5. Conclusion

According to investigation of an MIB episode in QCS Reservoir, and a culture experiment for *Pseudanabaena cinerea*, the following conclusions can be drawn. 1) *P. cinerea* was identified as the major MIB producer in QCS Reservoir during the investigation in 2021. 2) *mic* gene expression level is light dependent, in particular, relatively higher light intensity results in increasing cellular MIB yield when underwater light intensity is proper for their growth. 3) The *mic* DNA abundance and expression can be used for early warning puropse with 7 ~ 10 days forecasts, offering a valuable time gap for control measures and emergency operation.

# 428 **6. Notes**

<sup>429</sup> The authors declare no competing financial interest.

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